



Attorney's Docket No. 018360.234447

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:	Monteleone, et al.	Confirmation No.:	8871
Appl. No.:	09/902,361	Group Art Unit:	3625
Filed:	July 10, 2001	Examiner:	Jeffrey A. Smith
For:	METHOD AND APPARATUS FOR COMMUNICATING ORDER ENTRIES IN A NETWORK ENVIRONMENT		

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97(d)**

Attached is a list of documents on form PTO-1449 along with a copy of any cited foreign patent documents and non-patent literature documents in accordance with 37 CFR 1.98(a)(2).

It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By identifying the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead identifying the listed documents for the sake of full disclosure.

Respectfully submitted,

Meredith W. Struby  
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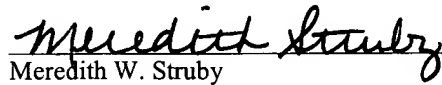
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(Revised 07/2005)

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 3

## **Complete if Known**

Application Number	09/902,361
Filing Date	July 10, 2001
First Named Inventor	Monteleone, et al.
Group Art Unit	3625
Examiner Name	Jeffrey A. Smith
Attorney Docket Number	018360.234447

## **U. S. PATENT DOCUMENTS**

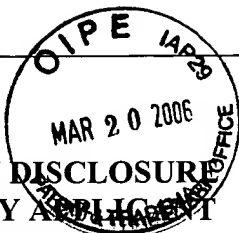
Examiner Initials*	Cite No.	Document Number Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear
	6	US-2003/0046220 A1	03-06-2003	Kamiya	
	7	US-2002/0010634	01-24-2002	Roman et al.	
	8	US-2002/0013744	01-31-2002	Tsunenari et al.	
	9	US-2002/0016726	02-07-2002	Ross	
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	12	US-2002/0073039	06-13-2002	Ogg et al.	
	13	US-6,343,275 B1	01-29-2002	Wong	
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	15	US-6,460,020 B1	10-01-2002	Pool et al.	
	16	US-6,463,420 B1	10-08-2002	Guidice et al.	
	17	US-6,208,980 B1	03-27-2001	Kara	
	18	US-6,220,509 B1	04-24-2001	Byford	
	19	US-6,236,972 B1	05-22-2001	Shkedy	
	20	US-6,285,916 B1	09-04-2001	Kadaba et al.	
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	24	US-5,999,913	12-07-1999	Goodwin, III	
	25	US-5,987,429	11-16-1999	Maritzen et al.	
	26	US-5,895,454	04-20-1999	Harrington	
	27	US-5,842,178	11-24-1998	Giovannoli	
	28	US-5,710,887	01-20-1998	Chelliah et al.	
	29	US-5,694,551	12-02-1997	Doyle et al.	
	30	US-5,666,493	09-09-1997	Wojcik et al.	
	31	US-5,592,378	01-07-1997	Cameron et al.	
Examiner Signature				Date Considered	

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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## **Complete if Known**

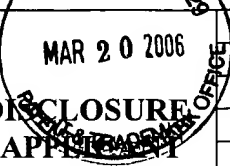
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Sheet	2	of	3		
Examiner Initials*	Cite No.	Document Number Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear
	32	US-4,992,940	02-12-1991	Dworkin	
	33	US-5,375,240	12-20-1994	Grundy	
	34	US-4,799,156	01-17-1989	Shavit et al.	

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No.	Foreign Patent Document Country Code - Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	English Language Translation Attached
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	45	WO 00/46728	08-10-2000	United Parcel Service of America, Inc.		
	46	WO 00/46726	08-10-2000	United Parcel Service of America, Inc.		
	47	WO 00/30014 A1	05-25-2000	Nintendo of America, Inc.		
	48	WO 99/34272	07-08-1999	Pool et al.		
Examiner Signature				Date Considered		

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Sheet	3	of	3	Attorney Docket Number	018360.234447
<b>OTHER DOCUMENTS</b>					
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			English Language Translation Attached
	49	BREWIN, BOB AND ROSENCRANCE, LINDA, Follow That Package!, Article, March 19, 2001 (Retrieved from the Internet April 25, 2003), Page 1 – Page 5, Retrieved from the Internet: URL: <a href="http://www.computerworld.com/printthis/2001/0,4814,58696,00.html">http://www.computerworld.com/printthis/2001/0,4814,58696,00.html</a> , Computer World			
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	57	VAN HUZIE, GORDON, Messaging: The Transport Part of The XML Puzzle, Article, July 2000 (Retrieved from the Internet April 25, 2003: <URL: <a href="http://www-106.ibm.com/developerworks/library/xml-messaging/">http://www-106.ibm.com/developerworks/library/xml-messaging/</a> >			

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